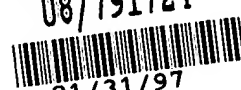




66201 U.S. PTO  
08/791724



01/31/97  
S-87,506/SD-5926  
January 29, 1997

Express Mail No.: EM292839788US

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

INVENTOR(S): Richard J. Pryor and Nipa Basu

FOR: METHOD FOR PREDICTING A CHANGE IN AN ECONOMY

**1. Type of Application**

This new application is for a(n)

- ☒ Original
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-Part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

**3. Papers Enclosed Which Are Required for Filing Date Under 37 CFR 1.53(b)**

- 1 Cover
- 11 Pages of Specification
- 5 Pages of Claims
- 1 Pages of Abstract
- 3 Sheet(s) of Drawing(s)
  - ☒ Formal
  - ☐ Informal

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4. **Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement (37 CFR 1.98)
- ☒ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or Oath**

- ☒ Enclosed  
executed by (check all applicable boxes)
  - ☒ Inventor(s)
  - ☐ Legal representative of inventor(s). 37 CFR 1.42
  - ☐ Joint inventor or person showing a proprietary interest on behalf of inventor(s) who refused to sign or cannot be reached.
    - ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. (See item 9 below for fee.)
- ☐ Not Enclosed
  - ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.)

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26750-1276280

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same - or -
- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made.
- ☐ is submitted.
- ☐ will not be submitted.

7. **Assignment**

- ☒ An assignment of the invention to Sandia Corporation
- ☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.
- ☒ will follow.

8. **Fee Calculation (37 CFR 1.16)**

- ☒ Regular application

CLAIMS AS FILED				37 CFR 1.16(a)
Number Filed	Number Extra	x Rate	Fee	
				\$ 770.00

Total Claims 15 - 20 = -0- \$ 22.00  
37 CFR 1.16(c)

Independent 3 - 3 = -0- \$ 80.00 -0-  
Claims (37 CFR  
1.16(b))

Total Filing \$ 770.00  
Fee

- ☐ Amendment canceling extra claims enclosed.
- ☐ Amendment deleting multiple-dependencies enclosed.
- ☐ Fee for extra claims is not being paid at this time.

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9. **Fee Payment Being Made At This Time**

- ☐ Not Enclosed  
☐ No filing fee is to be paid at this time (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)
- ☒ Enclosed
- ☒ basic filing fee \$770.00
- ☐ recording assignment (\$40.00; 37 CFR 1.21(h))
- ☐ petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))
- ☐ processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))

Total Fees Enclosed \$770.00

10. **Method of Payment of Fees**

- ☐ Check in the amount of \$\_\_\_\_\_
- ☒ Charge Account No. 19-0131 in the amount of \$770.00. A duplicate of this transmittal is attached.

11. **Instructions As To Overpayment or Underpayment**

- ☒ Credit Account No. 19-0131
- ☒ Charge Account No. 19-0131

Dated:

Jan 29 1997

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**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on the 29<sup>th</sup> day of January, 1997, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EM292839788US, addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Udella L. Kump

Udella L. Kump

1/29/97

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